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JC885 U.S. PTO

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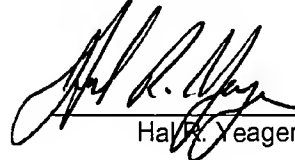
JC927 U.S. PTO
09/997306
11/28/01

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new non-provisional applications under 37 C.F.R. §1.53(b))

CERTIFICATE OF MAILING BY EXPRESS MAIL
EXPRESS MAIL NO: EL867658555US

I hereby certify that this paper and accompanying documents and fee are being deposited with the United States Postal Service "Express Mail post office to Addressee" Service under 37 CFR §1.10 on the date indicated below and is address to BOX PATENT APPLICATION, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202

Date: November 28, 2001


Hal R. Yeager

09997306-112801

BOX PATENT APPLICATION
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Transmitted herewith for filing is the patent application of:

Applicant(s): YUKIO HONKAWA, ET AL
Title: SEMICONDUCTOR LASER DEVICE, AND METHOD FOR FABRICATING THE SAME
Attorney Docket No.: 6635-60519 (7742-23)
Express Label No.: EL-867658555-US

Transmitted herewith for filing are:

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility application contents

- ☒ Specification [Total Pages: 32]
☒ Drawing(s) (35 U.S.C. 113) [Total Sheets: 9]
☒ Oath or Declaration [Total Sheets: 5]
a. ☒ Newly executed (original or copy) ☒ **unsigned** ☐ signed
b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
— (for continuation/divisional complete section below)

If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37C.F.R. 1.76

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:
Prior Application Information: Examiner: Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under box b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

i. ☐ **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b)

- ☐ Application Data Sheet. See 37 C.F.R. 1.76
- ☐ CD-ROM or CD-R in duplicate, table or Computer Program (Appendix)
- ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee)
- ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449
 - ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Documents(s) (If foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b) (2) (B) (I).
Applicant(s) must attach form PTO/SB/35
- ☐ Other

FEE CALCULATION FOR CLAIMS AS FILED

				Extra Claim(s)		Large Entity Rate		Fee Paid
Basic Fee						\$740	=	\$740.00
Independent Claims	4	-	3=	1	x	\$84	=	\$84.00
Total Claims	40	-	20=	20	x	\$18	=	\$360.00
Fee for Multiple Dependent Claims				0	x	\$280	=	\$
Total Filing Fee :								\$1184.00

() A check in the amount of \$ to cover the filing fee.

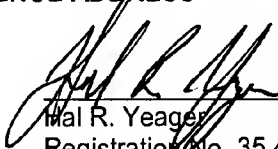
() Charge \$ to Deposit Account No. 13-0201.

(X) Please generate a Notice to File Missing Parts

The Commissioner is hereby authorized to charge any **additional** fees which may be required in this application under 37 CFR §§1.16-1.17 during its entire pendency, or to credit any overpayment, to Deposit Account No. 13-0201. Should no proper payment be enclosed herewith, as a check being in the wrong amount, unsigned, postdated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 13-0201.

CORRESPONDENCE ADDRESS

Date: November 28, 2001


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